

## CANONICAL PARTITIONS OF UNIVERSAL STRUCTURES

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Let  $\mathbb{U} = (U, \mathfrak{L})$  be a universal binary countable homogeneous structure and  $n \in \omega$ . We determine the equivalence relation  $\mathcal{C}(n)(\mathbb{U})$  on  $[U]^n$  with the smallest number of equivalence classes  $r$  so that each one of the classes is indivisible. As a consequence we obtain

$$\mathbb{U} \rightarrow (\mathbb{U})_{<\omega/r}^n$$

and a characterization of the smallest number  $r$  so that the arrow relation above holds.

For the case of infinitely many colors we determine the canonical set of equivalence relations, extending the result of Erdős and Rado for the integers to countable universal binary homogeneous structures.

### 1. Introduction

The Rado Graph  $\mathbb{R} = (R, E)$  is the countable universal homogeneous graph. It is a countable graph with the defining property that for every finite set  $F \subset R$  of vertices of the Rado graph and partition of  $F$  into the classes  $A$  and  $B$  there is a vertex  $x$  of the Rado graph which is adjacent to all vertices in  $A$  and not adjacent to any of the vertices in  $B$ .

Let  $n \in \omega$ . In the present paper we will investigate the lattice of partitions of  $[R]^n$  in relation to the graph structure of  $\mathbb{R}$ . We will show that there is a finite set of equivalence relations on  $[R]^n$ , the “canonical equivalence relations”, which form a “basis” for the relationship between the graph structure

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of  $\mathbb{R}$  and the full partition lattice on  $[R]^n$ . Those canonical equivalence relations are determined by finitely many different types of finite substructures of the Rado graph expanded by an  $\omega$ -enumeration of  $R$ .

There is not much more effort needed to prove and state our results in the context of universal binary countable homogeneous structures. The Rado graph and the order structure of the rationals are two prominent examples of such homogeneous structures. In the case of the rationals the canonical partitions have been determined in [6]. In the general case of universal binary countable homogeneous structures the canonical partitions for the lattice of partitions with finitely many classes have been found in [4].

We will use the notation  $\mathbb{A} = (A, \mathcal{L})$  to indicate that  $\mathbb{A}$  is a relational structure of type  $\mathcal{L}$  with base set  $A$ . That is, the set  $\mathcal{L}$  is the set of relation symbols of  $\mathbb{A}$ . Unless otherwise explicitly stated, we will always assume that the set  $\mathcal{L}$  of relation symbols is finite and that every relation symbol in  $\mathcal{L}$  is binary. We provide some limited discussion of the case that  $\mathcal{L}$  is infinite.

Note: Except for the very first example below we will only consider relational structures in a binary language  $\mathcal{L}$  for which  $R(x, y)$  implies  $x \neq y$  for all relation symbols  $R \in \mathcal{L}$ .

For a limited introduction to countable homogeneous structures see [Section 7](#), and for a more in depths introduction see the Appendix in [5]. We restrict our attention to *countable universal homogeneous structures over a binary language*. To motivate the definition below, let us consider the following example, in which the relational language  $\mathcal{L}$  contains only one relation symbol  $E$ .

Let  $\mathbb{F}_0$  be the relational structure in the language  $\mathcal{L}$  which contains exactly one element, say  $a$ , and for which  $E(a, a)$  holds. Let  $\mathbb{F}_1$  be the relational structure in the language  $\mathcal{L}$  which contains exactly two different elements, say  $a$  and  $b$ , and for which  $E(a, b)$  holds but not  $E(b, a)$ . Let  $\mathbb{F}_2$  be the relational structure in the language  $\mathcal{L}$  which contains exactly two different elements, say  $a$  and  $b$ , and for which both  $E(a, b)$  and  $E(b, a)$  holds.

Then the set of all finite binary relational structures with relation symbol  $E$  is an age, that is a set of finite relational structures is closed under induced substructures, isomorphic images and updirected. This age is the age of the universal relational structure with one binary relation symbol. If we restrict the age of all relational structures in the language  $\mathcal{L}$  to all those finite relational structures in the language  $\mathcal{L}$  which do not embed the structure  $\mathbb{F}_1$ , then we obtain again an age. This is the age of the universal countable directed graph.

If we restrict the age to all those finite relational structures in the language  $\mathcal{L}$  which do not embed the structures  $\mathbb{F}_0$  and  $\mathbb{F}_2$  we obtain the age

of the universal countable oriented graph. If we restrict the age to all those finite relational structures in the language  $\mathcal{L}$  which do not embed the structures  $\mathbb{F}_0$  and  $\mathbb{F}_1$  we obtain the age of the Rado graph. (Every edge is bi-directed, that is not directed.)

For the definition of binary countable universal homogeneous structure let  $\mathcal{L}$  be a finite set of binary relation symbols. Let  $\mathbf{F}$  be a set of relational structures in the language  $\mathcal{L}$  with domain  $\{0, 1\}$  and with the property that if  $\mathbb{A}$  and  $\mathbb{B}$  are two isomorphic relational structures in the language  $\mathcal{L}$  and domain  $\{0, 1\}$ , then either both are in  $\mathbf{F}$  or neither one of the two is in  $\mathbf{F}$ . Such a set  $\mathbf{F}$  is a *universal constraint set*.

The age of the countable universal homogeneous structure  $\mathbb{U}_{\mathbf{F}}$  consists of all finite relational structures in the language  $\mathcal{L}$  for which every induced two element substructure is isomorphic to one of the structures in  $\mathbf{F}$  and which do not contain loops (that is elements  $a$  with  $R(a, a)$  for  $R \in \mathcal{L}$ ). For example, in the case of the universal directed graph, the set  $\mathbf{F}$  consists of two elements, the edge from 0 to 1 and the edge from 1 to 0.

Every countable universal homogeneous structure  $\mathbb{U}_{\mathbf{F}}$  has a representation as a set of sequences. Let  $|\mathbf{F}| := k \in \omega$  and label the elements of  $\mathbf{F}$  with the numbers  $0, 1, \dots, k-1$ . Enumerate the elements of  $\mathbb{U}_{\mathbf{F}}$  into an  $\omega$ -sequence  $v_0, v_1, v_2, \dots$ . We assign to the element  $v_n$  for  $n \in \omega$  the sequence  $\sigma(v_n) := \langle s_0, s_1, \dots, s_{n-1} \rangle$  where  $s_i$  is the label of the element in  $\mathbf{F}$  for which the function mapping 0 to  $v_i$  and 1 to  $v_n$  is an isomorphism. Note that the sequence associated with the element  $v_0$  is the empty sequence  $\langle \rangle$ .

Conversely, let  $T$  be a set of finite sequences of different lengths and with entries the numbers from 0 to  $k-1$ . Let  $s, t \in T$  and the length of  $s$  equal to  $l$  and shorter than the length of  $t := \langle t_0, t_1, \dots, t_r \rangle$ . Let  $\mathbb{A} \in \mathbf{F}$  be the relational structure having label  $t_l$  and let  $E \in \mathcal{L}$ . Then define  $E(s, t)$  if and only if  $E(0, 1)$  holds in  $\mathbb{A}$ . We obtain a relational structure with language  $\mathcal{L}$  and base set  $T$ . Note that this assignment of sequences to the elements of  $\mathbb{U}_{\mathbf{F}}$  is an isomorphism of  $\mathbb{U}_{\mathbf{F}}$  to the set of sequences so obtained and endowed with the relations as described.

Hence if  $T$  is the tree of all finite sequences with entries in  $k$  and we stipulate that between two sequences of the same length no relation holds, then  $T$  is endowed with a relational structure of type  $\mathcal{L}$ . It is not difficult to see that every cofinal subset of  $T$  in which no two elements have the same length forms an isomorphic copy of  $\mathbb{U}_{\mathbf{F}}$  inside of  $T$ .

We will use this relationship between universal homogeneous structures and trees and known partition results on trees to establish partition results for universal structures. In particular the results are of the following nature.

Let  $v_0, v_1, v_2, \dots$  be an enumeration of  $\mathbb{U}_{\mathbf{F}}$ . Let  $n \in \omega$  and  $F$  an  $n$ -element subset of the elements of  $\mathbb{U}_{\mathbf{F}}$ . Let  $S$  be the set of sequences corresponding to set of elements of  $F$ . Then  $S$  is a subset of the tree  $T$  of all sequences with entries in  $k$ . We will define, see [Definition 3.3](#), a “similarity” between subsets of  $T$ . Two subsets of  $T$  being similar if their meet closures “look alike”. This equivalence relation between meet closed subsets of  $T$  relates backwards to an equivalence relation between subsets of  $\mathbb{U}_{\mathbf{F}}$ , defining a partition of the  $n$ -element subsets of  $\mathbb{U}_{\mathbf{F}}$  into similarity classes.

Some of the similarity classes have a representative in every “copy” of  $\mathbb{U}_{\mathbf{F}}$  while others don’t. We will use the notion of “strong similarity”, a finer partition than similarity, as a technical device in the proofs.

It is proven in [\[4\]](#) that each of the similarity classes of the  $n$ -element substructures is “indivisible” and that canonical partitions exist. The minimality of those partitions is not established in [\[4\]](#). That is, the minimality part of the proof that the partition is indeed canonical is missing. In the present paper we will use the results in [\[4\]](#) to show that the partitions defined there are canonical. Then we will use techniques from [\[6\]](#) to generalize the result for partitions into finitely many classes to partitions into infinitely many classes.

The recent preprint [\[3\]](#) develops an algorithm to compute the size of such canonical partitions. See also [\[6\]](#) and [\[4\]](#) for a more extensive discussion of the existing literature, including an early result of Erdős and Rado who determined (see [\[2\]](#)) the canonical partitions for  $[\mathbb{N}]^n$ .

We will use the notation and the results from [\[4\]](#). For completeness some of those definitions and results will be restated. The techniques developed in [\[6\]](#), to deal with partitions of the rationals in infinitely many parts, can also be used in the case of countable binary homogeneous structures and, except for a change of notation, are just transferred from [\[6\]](#).

## 2. Canonical partitions

Let  $\mathbb{A} = (A, \mathcal{L})$  be a relational structure. A *copy*  $\mathbb{A}^*$  of  $\mathbb{A}$  in  $\mathbb{A}$  is an induced substructure of  $\mathbb{A}$  which is isomorphic to  $\mathbb{A}$ . More general, if  $\mathbb{B} = (B, \mathcal{L})$  is a relational structure then a copy  $\mathbb{A}^*$  of  $\mathbb{A}$  in  $\mathbb{B}$  is an induced substructure of  $\mathbb{B}$  which is isomorphic to  $\mathbb{A}$ .

Let  $Q$  be a set of finite subsets of  $[A]^n$ . The set  $Q$  is *indivisible* if for every partition  $C_0, C_1, \dots, C_{m-1}$  of  $Q$  into  $m \in \omega$  subsets there exists a copy  $\mathbb{A}^* = (A^*, \mathcal{L})$  of  $\mathbb{A}$  in  $\mathbb{A}$  so that all of the subsets of  $A^*$  which are in  $Q$  are in  $C_k$  for some  $k \in m$ .

A set  $Q \subseteq [A]^n$  of  $n$ -element subsets of  $A$  is *persistent* if for every copy  $A^* = (A^*, \mathfrak{L})$  of  $\mathbb{A}$  in  $\mathbb{A}$  we have  $[A^*]^n \cap Q \neq \emptyset$ .

**Definition 2.1.** Let  $\mathbb{A} = (A, \mathfrak{L})$  be a relational structure. A *canonical equivalence relation* of  $[A]^n$  is an equivalence relation on  $[A]^n$  with finitely many equivalence classes so that each of the equivalence classes is persistent and indivisible. The set of equivalence classes of a canonical equivalence relation forms what we call a *canonical partition*.

It follows that if  $\mathcal{C}(n)$  is a canonical equivalence relation on  $[A]^n$  and  $\mathcal{E}$  is any equivalence relation on  $[A]^n$  with finitely many equivalence classes, then there is a copy  $A^* = (A^*, \mathfrak{L})$  of  $\mathbb{A}$  in  $\mathbb{A}$  so that  $\mathcal{C}(n)$  restricted to  $[A^*]^n$  is a subset of  $\mathcal{E}$  restricted to  $[A^*]^n$ .

Conversely, if  $\mathcal{C}$  is an equivalence relation on  $[A]^n$  so that for every equivalence relation  $\mathcal{E}$  on  $[A]^n$  with finitely many equivalence classes, there is a copy  $A^* = (A^*, \mathfrak{L})$  of  $\mathbb{A}$  in  $\mathbb{A}$  so that  $\mathcal{C}$  restricted to  $[A^*]^n$  is a subset of  $\mathcal{E}$  restricted to  $[A^*]^n$  and if every equivalence class of  $\mathcal{C}$  is persistent then  $\mathcal{C}$  is a canonical equivalence relation on  $[A]^n$ .

It is usually not the case that the zero definable  $n$ -element substructures, that is the different  $n$ -element embedding types of  $\mathbb{A} = (A, \mathfrak{L})$ , determine a canonical partition. A set of induced  $n$ -element substructures isomorphic to a given  $n$ -element substructure being usually not indivisible. Some additional structure on  $A$  is necessary to define a finer partition. In all cases so far known, this additional structure is an order induced by an  $\omega$ -enumeration of  $A$ .

It turns out that the situation is somewhat more intricate in the case of equivalences with infinitely many equivalence classes. Moreover, let  $\mathbb{A} = (A, \mathfrak{L})$  be a relational structure and  $\mathcal{C}$  a canonical equivalence relation on  $[A]^n$ . Let  $\mathfrak{C}$  be the set of equivalence relations  $\mathcal{D}$  with  $\mathcal{C} \subseteq \mathcal{D}$ . It follows that  $\mathfrak{C}$  is finite and if  $\mathcal{E}$  is any equivalence relation on  $[A]^n$  with finitely many equivalence classes, then there is a copy  $A^* = (A^*, \mathfrak{L})$  of  $\mathbb{A}$  in  $\mathbb{A}$  and an equivalence relation  $\mathcal{D} \in \mathfrak{C}$  so that the restriction of  $\mathcal{D}$  to  $[A^*]^n$  is equal to the restriction of  $\mathcal{E}$  to  $[A^*]^n$ . We will prove that this property of  $\mathfrak{C}$  extends to equivalence relations with infinitely many equivalence classes in the case of universal binary countable homogeneous structures.

**Definition 2.2.** Let  $\mathbb{A} = (A, \mathfrak{L})$  be a relational structure and  $n \in \omega$ . A finite set  $\mathfrak{C}(n)$  of equivalence relations on  $[A]^n$  is called a *canonical set of equivalence relations of the  $n$ -element subsets of  $A$*  if for every partition  $\mathcal{E}$  of  $[A]^n$  there is a copy  $A^* = (A^*, \mathfrak{L})$  of  $\mathbb{A}$  in  $\mathbb{A}$  and an element  $\mathcal{C} \in \mathfrak{C}(n)$  so that the restriction of  $\mathcal{E}$  to  $[A^*]^n$  is equal to the restriction of  $\mathcal{C}$  to  $[A^*]^n$ .

Note that the elements of a canonical set of equivalence relations do not have to be canonical equivalence classes. A stronger concept is the following.

**Definition 2.3.** Let  $\mathbb{A} = (A, \mathcal{E})$  be a relational structure and  $n \in \omega$ . A finite set  $\mathfrak{C}(n)$  of equivalence relations on  $[A]^n$  is called a *basis for the equivalence relations of the  $n$ -element subsets of  $A$*  if:

1. For every equivalence relation  $\mathcal{E}$  of  $[A]^n$  and copy  $\mathbb{A}^* = (A^*, \mathcal{E})$  there is a copy  $\mathbb{A}^{**} = (A^{**}, \mathcal{E})$  of  $\mathbb{A}$  in  $\mathbb{A}^*$  and an element  $\mathcal{C} \in \mathfrak{C}(n)$  so that the restriction of  $\mathcal{E}$  to  $[A^{**}]^n$  is equal to the restriction of  $\mathcal{C}$  to  $[A^{**}]^n$ .
2. If  $\mathcal{E}_1$  and  $\mathcal{E}_2$  are two different elements of  $\mathfrak{C}(n)$  and  $\mathbb{A}^* = (A^*, \mathcal{E})$  is a copy of  $\mathbb{A}$  in  $\mathbb{A}$  then the restriction of  $\mathcal{E}_1$  to  $[A^*]^n$  is different from the restriction of  $\mathcal{E}_2$  to  $[A^*]^n$ .

Note that every basis for the equivalence relations of the  $n$ -element subsets of  $A$  is a canonical set of equivalence relations of the  $n$ -element subsets of  $A$ . It also follows that any two bases for the equivalence relations on  $[A]^n$  have the same size.

### 3. Sequences

We denote by  $\mathfrak{T}_\omega$  the set of all finite sequences with entries in  $\omega$ . The *length* of a sequence  $x = \langle x_0, x_1, \dots, x_{n-1} \rangle$ ,  $|x|$ , is  $n$ . We write  $y \subset x$  if  $y$  is an initial segment of  $x$ . The *meet*,  $x \wedge y$ , of the sequences  $x$  and  $y$  is the longest sequence which is an initial segment of  $x$  and of  $y$ . For  $N \in \omega$ , then  $x \upharpoonright N$  is the restriction of  $x$  to  $N$ , that is the initial segment  $y$  of  $x$  so that  $y(i) = x(i)$  for all  $i < \min\{N, |x|\}$ . If  $S \subseteq \mathfrak{T}_\omega$ , then  $\text{closure}(S)$  is the set  $S$  union the set of all meets of elements in  $S$ , and  $S \upharpoonright N := \{y \upharpoonright N : y \in S \text{ and } |y| \geq N\}$ .

**Definition 3.1.** Let  $x, y \in \mathfrak{T}_\omega$ . Then we write  $x \prec y$  if and only if  $x$  and  $y$  are incomparable under  $\subseteq$  and  $x(|x \wedge y|) < y(|x \wedge y|)$ .

Note that  $\prec$  is not a total order on  $\mathfrak{T}_\omega$  since it only considers incomparable sequences under  $\subseteq$ . But for example it is a total order on sequences of the same length.

Let  $x, y \in \mathfrak{T}_\omega$ . The sequence  $x$  is an *immediate successor* of  $y$  if  $y \subset x$  and  $|y| + 1 = |x|$ . If  $S$  be a set of sequences, then the *degree* of  $y$  in  $S$  is the number of immediate successors  $x$  of  $y$  for which there is  $z \in S$  with  $x \subseteq z$ . Moreover a sequence  $y \in S$  is an *endpoint* of  $S$  if the degree of  $y$  in  $S$  is zero. We also write  $\text{closure}(S)$  for the set  $\{x \wedge y : x, y \in S\}$ . Observe that  $\text{closure}(\text{closure}(S)) = \text{closure}(S)$ .

A set  $S$  of sequences is called an *antichain* if  $x \subseteq y$  implies  $x = y$  for all  $x, y \in S$ . It is called *transversal* if  $|x| = |y|$  implies  $x = y$  for all  $x, y \in S$ .

**Definition 3.2.** A set  $S \subseteq \mathfrak{T}_\omega$  of sequences is called *diagonal* if it is an antichain,  $\text{closure}(S)$  is transversal, and the degree of every element of  $\text{closure}(S)$  is at most two. We further write  $\Delta_n(S)$  for the set of diagonal  $n$ -element subsets of  $S$ . If  $N \subseteq \omega$ , then also write  $\Delta_N(S) = \bigcup_{n \in N} \Delta_n(S)$ .

**Definition 3.3.** Let  $R, S \subseteq \mathfrak{T}_\omega$  be two sets of sequences. The function  $f$  of  $R$  to  $S$  is a *similarity* of  $R$  to  $S$  if for all  $x, y, z, u \in R$ :

1.  $f$  is a bijection.
2.  $x \wedge y \subseteq z \wedge u$  if and only if  $f(x) \wedge f(y) \subseteq f(z) \wedge f(u)$ .
3.  $|x \wedge y| < |z \wedge u|$  if and only if  $|f(x) \wedge f(y)| < |f(z) \wedge f(u)|$ .
4. If  $|z| > |x|$ , then  $z(|x|) = f(z)(|f(x)|)$ .
5. If  $x \prec y$ , then  $f(x) \prec f(y)$ .

The sets  $R$  and  $S$  of sequences are *similar*, written  $R \sim S$ , if there is a similarity of  $R$  to  $S$ . Note that if  $R$  is diagonal and  $R$  and  $S$  are similar, then  $S$  is diagonal. We denote by  $\text{Sim}_T(R)$  the set of all subsets of  $T$  which are similar to  $R$ . The function  $f$  of  $R$  into  $\mathfrak{T}_\omega$  is a *similarity embedding* if  $f$  is a similarity of  $R$  to  $f[R]$ .

It follows from Definition 3.3 that if  $R \sim S$ , then there is exactly one similarity which is called *the similarity of  $R$  to  $S$* . Note that the composition of similarities is again a similarity and the inverse of a similarity is again a similarity. Hence  $\sim$  is an equivalence relation on  $\mathfrak{T}_\omega$ .

A similarity function  $f$  extends via  $f(x \wedge y) = f(x) \wedge f(y)$  uniquely to a bijection  $f^*$  of  $\text{closure}(R)$  to  $\text{closure}(S)$ . This extension  $f^*$  of  $f$  is a meet and  $\prec$ -preserving function, but may not itself be a similarity.

Note also that Item 1. of Definition 3.3 follows from Item 2.

An  $\sim$ -equivalence class whose elements are diagonal is called a *diagonal  $\sim$ -equivalence class*.

**Definition 3.4.** An infinite set  $T \subseteq \mathfrak{T}_\omega$  is called an  $\omega$ -tree if  $T$  has no endpoints and every element of  $T$  has finite degree and  $T$  is closed under initial segments.

**Definition 3.5.** An  $\omega$ -tree  $T$  is called a *wide  $\omega$ -tree* if for all  $x, y \in T$ :

1.  $|x| < |y|$  implies that the degree of  $x$  in  $T$  is less than or equal to the degree of  $y$  in  $T$ .
2. If  $i \in k \in \omega$  and  $\langle x; k \rangle \in T$  then  $\langle x; i \rangle \in T$ .

**Definition 3.6.** A wide  $\omega$ -tree  $T$  is called a *regular  $\omega$ -tree of degree  $k$*  if the degree of every element of  $T$  is  $k$ .

Unless specifically noted, all  $\omega$ -trees in this paper will be regular  $\omega$ -trees of some degree  $k \in \omega$ . Note that for each  $k$  there is a unique wide  $\omega$ -tree  $T$  of degree  $k$ .

**Lemma 3.7.** *Let  $T$  be a regular  $\omega$ -tree of degree  $k$  and  $n \in \omega$ . Then the equivalence relation  $\sim$  restricted to the  $n$ -element subsets of  $T$  has finitely many equivalence classes.*

**Proof.** Let  $R$  be an  $n$ -element subset of  $T$ . We associate with every pair  $x \prec y$  of elements of  $R$  the triple of symbols  $(a, b, c)$  so that:

1.  $a$  is the symbol  $\subseteq$  if  $x \subseteq y$ , and otherwise  $a$  is the symbol  $\not\subseteq$ .
2.  $b$  is the symbol  $<$  if  $|x| < |y|$ , the symbol  $=$  if  $|x| = |y|$ , and the symbol  $>$  otherwise.
3.  $c = y(|x|)$  if  $|x| < |y|$ , and  $c = 0$  otherwise.

Let  $R$  and  $S$  be two  $n$ -element subsets of  $T$ . We write  $R \equiv S$  if there is a bijection  $f$  of  $R$  to  $S$  so that for every pair of elements  $x \prec y$  we have  $f(x) \prec f(y)$  and the triple of symbols associated with  $x \prec y$  in  $R$  is equal to the triple of symbols associated with  $f(x) \prec f(y)$  in  $S$ .

It follows that  $R \sim S$  if and only if  $R \equiv S$ . There are at most  $2^n$  elements in  $\text{closure}(R)$  and hence at most finitely many pairs of elements in  $\text{closure}(R)$ . Because  $R$  is a subset of a regular  $\omega$ -tree there are only finitely many such triples of symbols. Hence the equivalence relation  $\equiv$  has at most finitely many equivalence classes. ■

**Definition 3.8.** Let  $S, T \subseteq \mathfrak{T}_\omega$ . The function  $f : S \rightarrow T$  is a  $\mathfrak{d}$ -morphism if for every diagonal subset  $F$  of  $S$ , the restriction of  $f$  to  $F$  is a similarity embedding of  $F$  into  $T$ .

**Definition 3.9.** Given any regular  $\omega$ -tree  $T$ , a map  $f : T \rightarrow T$  is a *passing number preserving* (pnp) map if:

1.  $|x| < |y|$  implies  $|f(x)| < |f(y)|$ .
2. If  $|x| < |y|$  then  $y(|x|) = f(y)(|f(x)|)$ .

The number  $y(|x|)$  is called the *passing number of  $y$  at  $x$* .

**Lemma 3.10.** *Let  $S, T \subseteq \mathfrak{T}_\omega$  and  $S$  an antichain. Every  $\mathfrak{d}$ -morphism of  $S$  to  $T$  is a pnp map.*

**Proof.** Let  $x, y \in S$  with  $|x| < |y|$ . The set  $\{x, y\}$  is diagonal. ■



#### 4. Persistence

In this section we prove a most important result that a pnp image does not eradicate any  $\sim$ -equivalence class.

**Theorem 4.1.** *Let  $T$  be a regular  $\omega$ -tree,  $D \subseteq T$  diagonal, and  $\phi: T \rightarrow T$  a pnp map. Then there is a similarity embedding of  $D$  into  $\phi[T]$ .*

**Proof.** Fix a pnp map  $\phi: T \rightarrow T$ . For the purpose of this proof, we call a set  $L \subseteq \phi[T]$  *large* if  $\phi^{-1}[L]$  is cofinal above some  $t \in T$ . Moreover, given any tree  $S$  and  $x \in S$ , we write  $\widehat{x}(S) = \{y \in S : x \subseteq y\}$ . We simply write  $\widehat{x}$  when the underlying tree  $S$  is understood.

**Lemma 4.2.** *Let  $n \in \omega$ . If  $L = \bigcup_{i < n} L_i$  is large, then  $L_i$  is large for some  $i$ .*

**Proof.** Let  $\phi^{-1}(L)$  be cofinal above  $t \in T$ . If none of the  $L_i$ 's are large, then successively choose  $t \subseteq s_0 \subseteq s_1 \subseteq \dots \subseteq s_{n-1}$  such that  $\phi^{-1}[L_i] \cap \widehat{s_i} = \emptyset$ . But then  $\phi^{-1}[L] \cap \widehat{s_{n-1}} = \emptyset$  as well, a contradiction. ■

Enumerate  $\text{closure}(D)$  as  $\{d_i : i < \omega\}$  in increasing length, i.e.  $i < j$  implies  $|d_i| < |d_j|$ . We may assume without loss of generality that  $\emptyset \notin \text{closure}(D)$  and we define  $d_{-1} = \emptyset$ . This will facilitate the description of the construction.

We shall define recursively, sets of sequences  $T_k \subseteq \phi[T]$ , maps  $f_k$  and  $\psi_k$ , and  $N_k \in \omega$  such that:

1.  $f_k$  is a similarity embedding of  $\{d_i : i \in k\}$  to  $T_k$ .
2.  $|t| \leq N_k$  for all  $t \in T_k$ .
3.  $\widehat{t}$  is large for all  $t \in T_k \upharpoonright N_k$ .
4. All maximal nodes of  $T_k$  are either in  $T_k \upharpoonright N_k$ , or else in the range of  $f_k$ .
5.  $\psi_k$  is a  $\prec$ -preserving bijection of  $D \upharpoonright (|d_{k-1}| + 1)$  to  $T_k \upharpoonright N_k$ .
6.  $T_{k-1} \subseteq T_k$ ,  $f_{k-1} \subseteq f_k$ , and  $N_{k-1} < N_k$  if  $1 \leq k$ .

Let  $N_0 = |\phi(\emptyset)|$ . Since

$$\bigcup_{v \in \phi[T] \upharpoonright N_0} \widehat{v} \quad \text{is a large set,}$$

one of these  $\widehat{v}$  is large by Lemma 4.2. Put  $T_0 = \{v\}$  with  $v \in \phi[T] \upharpoonright N_0$  so that  $\widehat{v}$  is large. Since  $d_{-1} \notin \text{closure}(D)$  by assumption, we have  $D \upharpoonright (|d_{-1}| + 1) = D \upharpoonright (1)$  is a singleton set  $s$ . Let  $\psi_0(s) = v$ , and  $f_0 = \emptyset$ .

Now assume that  $T_k$ ,  $\phi_k$ , and  $f_k$  have been defined as above. We proceed to construct  $T_{k+1}$ ,  $\phi_{k+1}$ , and  $f_{k+1}$  depending on two different cases.

**Case I.**  $d_k \notin D$ .

Then  $d_k$  is the meet of (at least) two different elements, say  $d'_0, d'_1$ , of  $D$ .

Put  $t = \psi_k(d_k \restriction (|d_{k-1}| + 1))$ . By assumption, the set  $\widehat{t}$  is large, so  $\phi^{-1}[\widehat{t}]$  is cofinal above some  $s \in T$ . Let  $N_{k+1} := |\phi(s)| + 1$ . Since  $\phi$  is a pnp map, we must have in particular:

$$(\forall s' \supset s) \phi(s')(|\phi(s)|) = s'(|s|).$$

Therefore, for each  $i \in \{d'_0(|d_k|), d'_1(|d_k|)\}$ :

$$\bigcup_{t' \in \widehat{t} \restriction |\phi(s)|} \widehat{\langle t'; i \rangle} \text{ is a large set.}$$

By [Lemma 4.2](#) again we can find, for each such  $i$ , a  $t'_i \in \widehat{t} \restriction |\phi(s)|$ , such that  $\widehat{\langle t'_i; i \rangle}$  is large.

Define  $\psi_{k+1}$  on  $(D \cap \widehat{d_k}) \restriction (|d_k| + 1)$  (which has size exactly two), as the unique  $\prec$ -preserving map onto the two  $t'_i$ 's.

For  $u \in D \restriction (|d_{k-1}| + 1) \setminus \{d_k \restriction (|d_{k-1}| + 1)\}$ , choose  $v_u \in \widehat{\psi_k(u)} \restriction N_{k+1}$  such that  $\widehat{v_u}$  is large. This is again possible since

$$\bigcup_{v \in \widehat{\psi_k(u)} \restriction N_{k+1}} \widehat{v} \text{ is a large set,}$$

which implies that one of the sets  $\widehat{v}$  is large by [Lemma 4.2](#). Every  $u \in D \restriction (|d_{k-1}| + 1) \setminus \{d_k \restriction (|d_{k-1}| + 1)\}$  has a unique extension  $u' \in D \restriction (|d_k| + 1)$ . Let  $\psi_{k+1}(u') := v_u$ ,  $f_{k+1} = f_k$ , and let

$$T_{k+1} := T_k \cup \{t'_0, t'_1\} \cup \{v_u : u \in D \restriction (|d_{k-1}| + 1) \setminus \{d_k \restriction (|d_{k-1}| + 1)\}\}.$$

This completes the construction in this case, and conditions 1–6 are easily verified.

**Case II.**  $d_k \in D$ .

By assumption,  $\widehat{t}$  is large for each  $t \in T_k \restriction N_k$ , so  $\phi^{-1}[\widehat{t}]$  is cofinal above some  $s^t \in T$ . Choose  $M > \max\{|s^t| : t \in T_k \restriction N_k\}$ .

Now fix  $t := \psi_k(d_k \restriction (|d_{k-1}| + 1)) \in T_k \restriction N_k$ , and choose  $s \supset s^t$  of length  $M$ . Let  $N_{k+1} = |\phi(s)| + 1$ , and extend  $f_k$  so that  $f_{k+1}(d_k) = \phi(s)$ .

It is worth noting that  $d_k$  is the only node of  $D \restriction |d_k|$  above  $d_k \restriction (|d_{k-1}| + 1)$ ; this is so, due to our chosen ordering of the  $d_i$ 's and the fact that  $\text{closure}(D)$  is transversal.

For every  $u \in D \restriction (|d_{k-1}| + 1) \setminus \{d_k \restriction (|d_{k-1}| + 1)\}$  there is a unique  $u' \in D \restriction (|d_k| + 1)$  above  $u$ . We have to define  $\psi_{k+1}(u')$ . For this, fix such a  $u$  and let  $v = \psi_k(u) \in T_k \restriction N_k$ . We claim that

$$S := \bigcup_{\substack{v' \in \widehat{v} \restriction N_{k+1} \\ v'(|\phi(s)|) = u'(|d_k|)}} \widehat{v'} \quad \text{is a large set.}$$

The reason for this is that  $\widehat{v}$  is large by assumption. In fact  $\phi^{-1}[\widehat{v}]$  is cofinal above  $s^v$  defined above. Hence cofinal above each  $s'$  extending  $s^v$  of length  $M$  satisfying  $s'(|s|) = u'(|d_k|)$ . Since  $\phi$  is pnp it follows that  $\phi(s')(|\phi(s)|) = s'(|s|)$  for all such  $s'$  and hence  $S$  is a large set.

**Lemma 4.2** again allows us to find such a  $v'_u$  so that  $\widehat{v'_u}$  is large. We define  $\psi_{k+1}(u') := v'_u$  for all  $u \in D \restriction (|d_{k-1}| + 1) \setminus \{d_k \restriction (|d_{k-1}| + 1)\}$  and

$$T_{k+1} := T_k \cup \{\phi(s)\} \cup \{v'_u : u \in D \restriction (|d_{k-1}| + 1) \setminus \{d_k \restriction (|d_{k-1}| + 1)\}\}.$$

This completes the construction in Case II.

Clearly  $f = \bigcup_k f_k$  is the desired similarity embedding of  $D$  into  $f[D] \subseteq \phi[T]$ . ■

## 5. Partitions of sets of sequences

**Definition 5.1.** A set  $F \subseteq \mathfrak{T}_\omega$  of sequences is called *strongly diagonal* if it is an antichain and  $\text{closure}(F)$  is transversal and for all  $x, y, z \in F$  with  $x \neq y$ :

1.  $|x \wedge y| < |z|$  and  $x \wedge y \not\subseteq z$  implies  $z(|x \wedge y|) = 0$ .
2.  $x(|x \wedge y|) \in \{0, 1\}$ .

It follows that every subset of a strongly diagonal set is strongly diagonal. Note that Item 2. of **Definition 5.1** implies that the degree of every element of  $\text{closure}(F)$  is at most two and hence that every strongly diagonal set is diagonal.

**Definition 5.2.** Let  $R, S \subseteq \mathfrak{T}_\omega$  be two sets of sequences. The function  $f$  of  $R$  to  $S$  is a *strong similarity* of  $R$  to  $S$  if for all  $x, y, z, u \in R$ :

1.  $f$  is a bijection.
2.  $x \wedge y \subseteq z \wedge u$  if and only if  $f(x) \wedge f(y) \subseteq f(z) \wedge f(u)$ .
3.  $|x \wedge y| < |z \wedge u|$  if and only if  $|f(x) \wedge f(y)| < |f(z) \wedge f(u)|$ .
4. If  $|z| > |x \wedge y|$  then  $z(|x \wedge y|) = f(z)(|f(x) \wedge f(y)|)$ .

If  $F$  is a subset of a set  $R$  of sequences then  $\text{Sims}_R(F)$  is the set of all subsets of  $R$  which are strongly similar to  $F$ .

Every strong similarity is a similarity and every strong similarity of a set  $R$  of sequences has a unique extension to a strong similarity of  $\text{closure}(R)$ . The notion of strong similarity will mainly be applied to sets of sequences which are antichains.

**Definition 5.3.** Let  $S$  and  $T$  be two subsets of  $\mathfrak{T}_\omega$ . The injection  $f$  of  $S$  to  $T$  is a *strong diagonalization* of  $S$  to  $T$  if for all  $x, y, z, u \in S$ :

1. The set of sequences  $f[S]$  is strongly diagonal.
2.  $|x \wedge y| < |z \wedge u|$  implies  $|f(x) \wedge f(y)| < |f(z) \wedge f(u)|$ .
3. If  $|x| > |y|$  then  $x(|y|) = f(x)(|f(y)|)$ .
4. If  $x \prec y$  then  $f(x) \prec f(y)$ .

Note that every strong diagonalization is a pnp map.

The following [Lemma 5.4](#) is Lemma 3.6 of [4], the following [Lemma 5.5](#) is Lemma 3.7. of [4], the following [Theorem 5.6](#) is Theorem 4.1 of [4], and finally the following [Theorem 5.7](#) is Theorem 6.2 of [4].

**Lemma 5.4.** *If  $f$  is a similarity of the strongly diagonal set  $F$  to the strongly diagonal set  $G$  then  $f$  is a strong similarity.*

**Lemma 5.5.** *Every strong diagonalization is a  $\mathfrak{d}$ -morphism.*

**Theorem 5.6.** *Let  $T$  be a regular  $\omega$ -tree and  $D$  a cofinal subset of  $T$ . Then there exists a strong diagonalization  $f$  of  $T$  into  $D$ .*

**Theorem 5.7.** *Let  $T$  be a regular  $\omega$ -tree,  $f$  a strong diagonalization of  $T$ ,  $A$  a finite subset of  $f[T]$ , and  $C_0 \cup C_1 \cup \dots \cup C_{m-1} = \text{Sims}_{f[T]}(A)$  be a partition of  $\text{Sims}_{f[T]}(A)$ . Then there is  $k \in m$  and a strong diagonalization  $g$  of  $T$  with  $g[f[T]] \subseteq f[T]$  so that*

$$\text{Sims}_{g \circ f[T]}(A) \subseteq C_k.$$

**Corollary 5.8.** *Let  $T$  be a regular  $\omega$ -tree,  $h$  a strong diagonalization of  $T$ ,  $A$  a finite diagonal subset of  $T$ , and  $C_0 \cup C_1 \cup \dots \cup C_{m-1} = \text{Sim}_{h[T]}(A)$  be a partition of  $\text{Sim}_{h[T]}(A)$ . Then there is  $k \in m$  and a strong diagonalization  $r$  of  $T$  with  $r[h[T]] \subseteq h[T]$  so that*

$$\text{Sim}_{r \circ h[T]}(A) \subseteq C_k.$$

**Proof.** It follows from [Lemma 5.4](#) that  $\text{Sims}_{h[T]}(h[A]) = \text{Sim}_{h[T]}(A)$  and  $\text{Sims}_{g \circ h[T]}(h[A]) = \text{Sim}_{g \circ h[T]}(A)$ . ■

**Theorem 5.9.** *Let  $T$  be a regular  $\omega$ -tree,  $S$  a cofinal subset of  $T$ , and  $f$  a pnp map of  $S$  into  $T$  with  $R = f[S]$ . Let  $A$  be a finite diagonal subset of  $R$  and  $C_0 \cup C_1 \cup \dots \cup C_{m-1} = \text{Sim}_R(A)$  be a partition of  $\text{Sim}_R(A)$ . Then there is  $k \in m$  and a  $\mathfrak{d}$ -morphism  $g$  of  $T$  with  $g[T] \subseteq R$  so that*

$$\text{Sim}_{g[T]}(A) \subseteq C_k.$$

*If  $f$  is the identity on  $S$ , then  $g$  can be taken to be a strong diagonalization.*

**Proof.** Let  $n \in \omega$  be the number of elements of  $A$ .

According to [Theorem 5.6](#) there exists a strong diagonalization  $h$  of  $T$  into  $S$ . Then  $D := h[T]$  is diagonal and  $\phi := f \circ h : T \rightarrow T$  is a pnp map. Hence, according to [Theorem 4.1](#), there is a similarity embedding  $l$  of  $D$  into  $\phi[T]$ . Then  $l[D] \subseteq f[S] = R$  and hence  $(C_i \cap [l[D]]^n; i \in m)$  is a partition of  $\text{Sim}_{l[D]}(A)$ .

If  $A' \in \text{Sim}_{h[T]}(A)$  then  $l[A'] \in \text{Sim}_{l[D]}(A)$ . Let  $C'_i := \{A' \in \text{Sim}_{h[T]}(A) : l[A'] \in C_i\}$ . It follows that  $(C'_i; i \in m)$  is a partition of  $\text{Sim}_{h[T]}(A)$ .

According to [Corollary 5.8](#) there exists  $k \in m$  and a strong diagonalization  $r$  of  $T$  with  $r[h[T]] \subseteq h[T]$  so that  $\text{Sim}_{r \circ h[T]}(A) \subseteq C'_k$ . Then  $\text{Sim}_{l \circ r \circ h[T]}(A) \subseteq C_k$ . It follows from [Lemma 5.5](#) that  $g := l \circ r \circ h$  is a similarity embedding, and from [Lemma 3.10](#) that  $g$  is also a pnp map.

If  $f$  is the identity on  $S$  then  $l$  can be taken to be the identity on  $h[S]$ . ■

**Corollary 5.10.** *Let  $T$  be a regular  $\omega$ -tree and  $S$  a cofinal subset of  $T$ . Let  $A$  be a finite diagonal subset of  $S$  and  $C_0 \cup C_1 \cup \dots \cup C_{m-1} = \text{Sim}_S(A)$  be a partition of  $\text{Sim}_S(A)$ . Then there is  $k \in m$  and a strong diagonalization  $g$  of  $T$  with  $g[T] \subseteq S$  so that*

$$\text{Sim}_{g[T]}(A) \subseteq C_k.$$

**Corollary 5.11.** *Let  $T$  be a regular  $\omega$ -tree,  $S$  a cofinal subset of  $T$ , and  $f$  a pnp map of  $S$  into  $T$  with  $R = f[S]$ . Let  $N \subseteq \omega$  be finite,  $l \in \omega$ , and  $K_i$  a finite set for every  $i \in l$ . For all  $i \in l$ , let  $f_i : \Delta_N(R) \rightarrow K_i$  be a function.*

*Then there exists a  $\mathfrak{d}$ -morphism  $h : T \rightarrow R$  so that for every  $i \in l$  and  $n \in N$  and  $\sim$  equivalence class  $P$  of  $\Delta_n(h[T])$  the function  $f_i$  restricted to  $P$  is constant.*

**Proof.** First let  $n \in \omega$ ,  $N = \{n\}$ ,  $l = 1$ , and  $A \in \Delta_n(T)$ . Then the function  $f_0$  induces a partition of  $\text{Sim}_R(A)$  into finitely many classes. It follows from [Theorem 5.9](#) that there is a  $\mathfrak{d}$ -morphism  $h$  of  $T$  with  $g[T] \subseteq R$  so that the function  $f_0$  is constant on the  $\sim$  equivalence class  $\text{Sim}_{h[T]}(A)$ .

The result now follows by repeated application of the above argument because  $T$  is a regular  $\omega$ -tree and hence the number of  $\sim$  equivalence classes of  $\Delta_n(R)$  is finite. ■

## 6. Equivalences with infinitely many classes

In this section we discuss some generalizations to the case of equivalence relations with possibly infinitely many classes.

**Definition 6.1.** Let  $S \subseteq \mathfrak{T}_\omega$  and  $n \in \omega$ . A set  $\mathfrak{E}$  of equivalence relations on  $[S]^n$  is called a *basis for the equivalence relations on  $[S]^n$*  if:

1. For every pnp copy  $R$  of  $S$  in  $S$  and every equivalence relation  $Q$  on  $[R]^n$  there exists a  $\mathfrak{d}$ -morphism  $h$  of  $S$  into  $R$  and an equivalence relation  $E \in \mathfrak{E}$  so that

$$E \cap [[h[S]]^n]^2 = Q \cap [[h[S]]^n]^2.$$

2. If  $E_1$  and  $E_2$  are two different elements of  $\mathfrak{E}$  and  $R$  is a pnp copy of  $S$  in  $S$  then

$$E_1 \cap [[R]^n]^2 \neq E_2 \cap [[R]^n]^2.$$

As in the relational structure case (see Section 2), one can easily show that any two countable bases for the equivalence relations on  $[S]^n$  have the same size. We do not know if this generalizes to the uncountable situation, but we thank the referee for pointing out the following result, that such a basis must be of size continuum in some circumstances.

**Lemma 6.2.** *Let  $S \subseteq \mathfrak{T}_\omega$  be cofinal in some wide  $\omega$ -tree of unbounded degree, and  $n \geq 2$ . Then a basis for the equivalence relations on  $[S]^n$  must have the same size as the continuum.*

**Proof.** Fix such an  $S$  and  $n \geq 2$ . Any basis for the equivalence relations on  $[S]^n$  has size at most the cardinality of the continuum since  $[S]^n$  is countable. It thus suffices to show that it cannot have size any smaller.

For  $X \subseteq S$  define

$$\text{pnp}(X) = \{k \in \omega : \exists x, y \in X [|x| < |y| \text{ and } y(|x|) = k]\}$$

Then for every pnp map  $f: S \rightarrow S$ ,  $\{\text{pnp}(X) : X \in [f(S)]^n\} = [\omega]^{<n}$  since  $S$  is cofinal in some wide  $\omega$ -tree of unbounded degree.

Now for each  $A \subseteq [\omega]^{<n}$ , partition  $[S]^n = IN_A \cup OUT_A$  where  $X \in IN_A$  if and only if  $\text{pnp}(X) \in A$ , and let  $\sim_A$  be the corresponding equivalence relation.

It is now evident that any basis for the equivalence relations on  $[S]^n$  must contain a distinct relation for each  $\sim_A$ , and thus must have size at least continuum. ■

**Lemma 6.3.** *Let  $T$  be a regular  $\omega$ -wide tree,  $S \subseteq T$  a cofinal set and  $f : S \rightarrow S$  a pnp map with  $R = f[S]$ . Then there is a  $\mathfrak{d}$ -morphism  $g : T \rightarrow R$  such that  $g[T]$  is a diagonal subset of  $R$ .*

**Proof.** Use Theorem 5.6 to choose a strong diagonalization  $d : T \rightarrow S$ . Let  $\phi = f \circ d : T \rightarrow S$ . Then  $\phi$  is a pnp map and  $D = d[T]$  is a diagonal set. Apply Theorem 4.1 to get a similarity embedding  $e : D \rightarrow R$ . Then  $g = e \circ d : T \rightarrow R$  is the desired  $\mathfrak{d}$ -morphism. ■

**Definition 6.4.** Let  $S \subseteq \mathfrak{T}_\omega$ . Then

$$\Theta_n(S) := \{(A, B) \in [[S]^n]^2 : A \cup B \text{ is diagonal}\}.$$

**Definition 6.5.** Let  $A, B, C, D \in [\mathfrak{T}_\omega]^n$ . We write  $A : B = C : D$  if  $(A, B) = (C, D)$  or if  $(A, B), (C, D) \in \Theta_n(\mathfrak{T}_\omega)$  and  $A \cup B \sim C \cup D$  and  $f[A] = C$  and  $f[B] = D$ , where  $f$  is the similarity of  $A \cup B$  to  $C \cup D$ . We write  $A : B \simeq C : D$  for  $A : B = C : D$  or  $A : B = D : C$ .

Let  $A \cup B$  and  $C \cup D$  be diagonal. It follows that  $A : B \simeq C : D$  if and only if  $A \cup B \sim C \cup D$  and  $\{f[A], f[B]\} = \{C, D\}$  where  $f$  is the similarity of  $A \cup B$  to  $C \cup D$ . If one of  $A \cup B$  or  $C \cup D$  is not diagonal then  $A : B \simeq C : D$  if and only if  $(A, B) = (C, D)$ . The relation  $\simeq$  is an equivalence relation on  $[[\mathfrak{T}_\omega]^n]^2$ . We may also write  $(A, B) \simeq (C, D)$  for  $A : B \simeq C : D$ .

Let  $n \in \omega$  and  $A', A'' \subseteq [[\mathfrak{T}_\omega]^n]^2$ . A bijection  $h : A' \rightarrow A''$  is called an *equivalence* if  $(A, B) \simeq h(A, B)$  for all  $(A, B)$  in  $A'$ . If there is an equivalence of  $A'$  to  $A''$  then  $A'$  and  $A''$  are said to be *equivalent*.

**Definition 6.6.** Let  $S \subseteq \mathfrak{T}_\omega$  and  $n \in \omega$  and  $\Lambda \subseteq \Theta_n(S)$ . The set  $\Lambda$  is called *n-saturated for  $S$*  if for every element  $(C, D) \in \Theta_n(S)$  there is a pair  $(A, B) \in \Lambda$  such that  $A : B \simeq C : D$ .

An *n-saturated set for  $S$*  is called *minimal* if it has no proper subset which is *n-saturated for  $S$* .

It follows that if an *n-saturated set  $\Lambda$  for  $S$*  is equivalent to a set  $A' \subseteq [[S]^n]^2$ , then  $A'$  is *n-saturated for  $S$* . Observe that  $\Theta_n(S)$  itself is *n-saturated for  $S$* , but in some circumstances there actually are *finite saturated sets*.

**Lemma 6.7.** *Let  $T$  be a regular  $\omega$ -tree and  $n \in \omega$ . Then there exists a finite *n-saturated set  $\Lambda$  for  $T$* .*

*If  $\Lambda$  is a minimal *n-saturated set for  $T$* , then  $(A, B) \not\simeq (C, D)$  for any two different elements  $(A, B), (C, D) \in \Lambda$ . Moreover, if  $A'$  is another *n-saturated set with the minimal number of elements*, then  $\Lambda$  and  $A'$  are equivalent.*

**Proof.** Given  $(A, B) \in \Theta_n(T)$  we have  $n \leq |A \cup B| \leq 2n$ . Let  $E$  be the equivalence relation on  $\mathcal{A} := \Delta_n(T) \times \Delta_n(T)$  given by  $(A, B)E(C, D)$  if  $A \cup B \sim C \cup D$ . It follows from [Lemma 3.7](#) that  $E$  has finitely many equivalence classes.

The equivalence relation  $\simeq$  is a subset of the equivalence relation  $E$ . It remains to prove that  $\simeq$  partitions every equivalence class of  $E$  whose elements are diagonal into finitely many equivalence classes of  $\simeq$ . So let  $(A, B), (C, D) \in \mathcal{A}$  such that  $(A, B), (C, D) \in \Theta_n(T)$  and  $A \cup B \sim C \cup D$ , let  $f$  be the similarity of  $A \cup B$  to  $C \cup D$ , and put  $m = |A \cup B|$ . Then  $A : B \simeq C : D$  just in case  $\{f[A], f[B]\} = \{C, D\}$ .

It follows that the equivalence class of  $E$  containing  $A \cup B$  is partitioned into  $\frac{1}{2} \cdot \binom{m}{n} \cdot \binom{n}{2n-m}$  equivalence classes of the equivalence relation  $\simeq$ .

The second part of the assertion follows trivially. ■

**Definition 6.8.** Let  $T$  be a regular  $\omega$ -tree, and  $n \in \omega$ . We denote by  $A_n(T)$  some fixed finite minimal  $n$ -saturated set for  $T$ .

**Definition 6.9.** Let  $S \subseteq \mathfrak{T}_\omega$ ,  $n \in \omega$ , and  $\mathcal{T} \subseteq \Theta_n(\mathfrak{T}_\omega)$ . The set  $\mathcal{T}$  is  $n$ -transitive for  $S$  if:

1. For every  $C \in \Delta_n(S)$  there is a pair  $(A, A) \in \mathcal{T}$  with  $A \sim C$ .
2. For all  $(A_1, B_1), (A_2, B_2) \in \mathcal{T}$  and all  $C, D, E \in \Delta_n(S)$  with  $A_1 : B_1 \simeq C : D$ ,  $A_2 : B_2 \simeq D : E$  and  $(C, E) \in \Theta_n(\mathfrak{T}_\omega)$ , there is a pair  $(A_3, B_3) \in \mathcal{T}$  so that  $A_3 : B_3 \simeq C : E$ .

For  $\Lambda \subseteq [\Delta_n(S)]^2$ , we will denote by  $\text{trans}(\Lambda)$  the set of  $n$ -transitive (for  $S$ ) subsets of  $\Lambda$ .

One can easily verify that if  $\mathcal{T}$  is equivalent to  $\mathcal{T}'$  and  $\mathcal{T}$  is  $n$ -transitive for  $S$ , then  $\mathcal{T}'$  is  $n$ -transitive for  $S$  as well.

Let  $T$  be any regular  $\omega$ -wide tree,  $n \in \omega$  and  $A', A'' \subseteq \Theta_n(T)$  be two minimal  $n$ -saturated sets for  $T$ . By [Lemma 6.7](#), there is an equivalence  $h : A' \rightarrow A''$ . Then for all  $n$ -transitive  $\mathcal{T} \subseteq A'$ , the set  $h[\mathcal{T}]$  is an equivalent  $n$ -transitive subset of  $A''$ . Thus the mapping  $\mathcal{T} \mapsto h[\mathcal{T}]$  is a bijection between the  $n$ -transitive subsets of  $A'$  and the  $n$ -transitive subsets of  $A''$ .

**Lemma 6.10.** Suppose  $T$  is a regular  $\omega$ -wide tree,  $n \in \omega$ ,  $A_n(T)$  is a minimal  $n$ -saturated set for  $T$ , and  $\Theta_n(T) \subseteq A_n(T)$  is  $n$ -transitive for  $T$ . Then for all  $\mathfrak{d}$ -morphisms  $g : T \rightarrow T$  and  $(C, D) \in \Theta_n(T)$ ,

$$\exists (A, B) \in \mathcal{T} \ g[C] : g[D] \simeq A : B \iff \exists (A, B) \in \mathcal{T} \ C : D \simeq A : B.$$

Since strong diagonalization is a  $\mathfrak{d}$ -morphism by [Lemma 5.5](#), the above Lemma shows that for pairs  $(C, D) \in \Theta_n(T)$ , the following definition is independent of the choice of  $\psi$  and the choice of  $A_n(T)$ .



**Definition 6.11.** Suppose  $T$  is a regular  $\omega$ -wide tree and  $n \in \omega$ , and fix a strong diagonalization  $\psi: T \rightarrow T$ . For all  $n$ -transitive  $\mathcal{T} \subseteq \Lambda_n(T)$ , define the relation  $\mathcal{T}$  on  $[[T]^n]^2$  by:

$$C \mathcal{T} D \text{ if and only if } \psi[C] : \psi[D] \simeq A : B \text{ for some } (A, B) \in \mathcal{T}.$$

Let  $\mathfrak{E}_n(T) := \{\mathcal{T} : \mathcal{T} \in \text{trans}(\Lambda_n(T))\}$ . Moreover, for any  $S \subseteq T$ , let  $\mathfrak{E}_n(S) := \{\mathcal{T} \upharpoonright [[S]^n]^2 : \mathcal{T} \in \text{trans}(\Lambda_n(T))\}$ .

**Lemma 6.12.** *Let  $T$  be an  $\omega$ -wide tree and  $n \in \omega$ . Then the relations in  $\mathfrak{E}_n(T)$  are all equivalence relations.*

**Proof.** We first verify that  $\mathcal{T} \in \mathfrak{E}_n(T)$  is an equivalence relation on  $[[T]^n]^2$ .

Reflexivity follows from Item 1. of [Definition 6.9](#) and symmetry follows from the definition of  $\simeq$ , [Definition 6.5](#).

In order to verify transitivity, let  $A \mathcal{T} B$  and  $B \mathcal{T} C$ . If  $A = B$  or  $B = C$ , then  $A \mathcal{T} C$ . So suppose that  $A \neq B \neq C$ . Then there must be  $(A_1, B_1)$  and  $(A_2, B_2)$  in  $\mathcal{T}$  such that  $A_1 : B_1 \simeq \psi[A] : \psi[B]$  and  $A_2 : B_2 \simeq \psi[B] : \psi[C]$ . Since  $\psi$  is a strong diagonalization,  $(\psi[A], \psi[C]) \in \Theta_n(T)$ . By [Definition 6.9](#) there is a pair  $(A_3, B_3) \in \mathcal{T}$  such that  $A_3 : B_3 \simeq \psi[A] : \psi[C]$ . This implies  $A \mathcal{T} C$  and hence that the relation  $\mathcal{T}$  is transitive. ■

We are now ready to show that if  $S$  is a cofinal subset of some regular  $\omega$ -tree, then the equivalence classes of relations in  $\mathfrak{E}_n(S)$  form a canonical set of partitions of the  $n$ -element subsets of  $S$ .

**Theorem 6.13.** *Let  $T$  be a regular  $\omega$ -tree,  $S$  a cofinal subset of  $T$ , and  $n \in \omega$ . Then  $\mathfrak{E}_n(S)$  is a finite basis for the equivalence relations on  $[S]^n$ .*

**Proof.** Since the restriction of an equivalence relation  $E$  defined on  $[[T]^n]^2$  to  $[[S]^n]^2$  is an equivalence relation, it suffices to verify Items 1. and 2. of [Definition 6.1](#).

To verify Item 1. we must define an  $n$ -transitive set  $\mathcal{T} \subseteq \Lambda_n(T)$ . Toward that end, let  $N = \{|A \cup B| : (A, B) \in \Lambda_n(T)\}$ . Let  $f$  be a pnp map of  $S$  into  $S$  with  $R = f[S]$ . Use the diagonal representation lemma to find a  $\mathfrak{d}$ -morphism  $d : T \rightarrow R$  with  $f[T]$  diagonal, and let  $R' := f'[S]$ . Then by [Lemma 3.10](#),  $f'$  is a pnp map. Next define for every  $(A, B) \in \Lambda_n(T)$  a function  $\rho_{(A,B)} : \Delta_N(R') \rightarrow \{0, 1\}$  such that

$$\rho_{(A,B)}(F) = \begin{cases} 1 & \text{if } A \cup B \sim F \text{ and } l[A] \text{ Q } l[B], \\ & \text{where } l \text{ is the similarity of } A \cup B \text{ to } F; \\ 0 & \text{otherwise.} \end{cases}$$

According to [Corollary 5.11](#), there exists a  $\mathfrak{d}$ -morphism  $h : T \rightarrow R'$  so that for every  $(A, B) \in \Lambda_N(T)$ ,  $m \in N$  and  $\sim$  equivalence class  $P$  of  $\Delta_m(h[T])$ , the function  $\rho_{(A,B)}$  restricted to  $P$  is constant. Let

$$\begin{aligned} \mathcal{T} &= \{(A, B) \in \Lambda_n(T) : \rho_{(A,B)}[\text{Sim}_{h[T]}(A \cup B)] = \{1\}\} \\ &= \{(A, B) \in \Lambda_n(T) : \forall F \in \text{Sim}_{h[T]}(A \cup B) (\rho_{(A,B)}(F) = 1)\}. \end{aligned}$$

It follows that  $(A, B) \in \mathcal{T}$  if and only if for all  $(h[C], h[D]) \in \Delta_n(h[T])$  with  $A : B \simeq h[C] : h[D]$  we have  $h[C] Q h[D]$  if and only if  $h[A] Q h[B]$ .

We verify that  $\mathcal{T}$  is an  $n$ -transitive subset of  $\Lambda_n(T)$ . Let  $C \in \Delta_n(T)$ . Then there is  $(A, A) \in \Lambda_n(T)$  with  $A \sim C$  since  $(C, C) \in \Theta_n(T)$  and  $\Lambda_n(T)$  is  $n$ -saturated. It follows that  $(A, A) \in \mathcal{T}$  because  $h[A] Q h[A]$ .

Now suppose  $(A_1, B_1), (A_2, B_2) \in \mathcal{T}$ , and  $C, D, E \in \Delta_n(T)$  are such that  $C : D \simeq A_1 : B_1$ ,  $D : E \simeq A_2 : B_2$ , and  $(C, D) \in \Theta_n(T)$ . Then  $h[C] Q h[D]$  and  $h[D] Q h[E]$  and hence  $h[C] Q h[E]$ . Since  $h[C] \cup h[E]$  is diagonal, there is  $(A_3, B_3) \in \Lambda_n(T)$  with  $A_3 : B_3 \simeq h[C] : h[E]$  and  $(A_3, B_3) \in \mathcal{T}$ . Since  $(C, D) \in \Theta_n(T)$ , the set  $C \cup D$  is diagonal. Since  $h$  is a  $\mathfrak{d}$ -morphism,  $C : D \simeq h[C] : h[D]$ . Thus  $A_3 : B_3 \simeq C : D$  as required for the second item of [Definition 6.1](#).

Finally let  $E = \mathcal{T}$  and let  $R'' := h[S] \subseteq R'$ . Let  $h[C], h[D]$  be a pair of  $n$ -element subsets of  $R''$ . The set  $h[C] \cup h[D]$  is diagonal because it is a subset of the diagonal set  $R'$ . Thus, since  $\Lambda_n(T)$  is  $n$ -saturated for  $T$ , there is some  $(A, B) \in \Lambda_n(T)$  such that  $A : B \simeq h[C] : h[D]$ . Then  $h[C] E h[D]$  if and only if  $h[C] \mathcal{T} h[D]$  if and only if  $(A, B) \in \mathcal{T}$  if and only if  $h[C] Q h[D]$ .

To verify the last basis condition, let  $\mathcal{T}'$  and  $\mathcal{T}''$  be two different elements of  $\mathfrak{E}_n(S)$  and  $R = f[S]$  where  $f$  is a pnp map of  $S$  into  $S$ . By definition of  $\mathfrak{E}_n(S)$  and  $\mathfrak{E}_n(T)$ ,  $\mathcal{T}' \neq \mathcal{T}''$ . Without loss of generality, let  $(A, B) \in \mathcal{T}' \setminus \mathcal{T}''$ . Use the diagonal representation lemma to find a  $\mathfrak{d}$ -morphism  $f' : T \rightarrow R$  with  $f'[T]$  diagonal. Then  $f'[A] \mathcal{T}' f'[B]$  but not  $f'[A] \mathcal{T}'' f'[B]$ . Hence the restrictions of  $\mathcal{T}'$  and  $\mathcal{T}''$  differ on pairs of  $n$ -element subsets of  $R$ .  $\blacksquare$

## 7. Homogeneous structures

Let  $\mathcal{L}$  be a binary relational language. A set  $\mathbf{A}$  of finite relational structures in the language  $\mathcal{L}$  has the *amalgamation property* if for any three elements  $\mathbb{A}, \mathbb{B}, \mathbb{C}$  of elements in  $\mathbf{A}$ , embeddings  $f : \mathbb{C} \rightarrow \mathbb{A}$  and  $g : \mathbb{C} \rightarrow \mathbb{B}$ , there exists an element  $\mathbb{D} \in \mathbf{A}$  and embeddings  $f' : \mathbb{A} \rightarrow \mathbb{D}$  and  $g' : \mathbb{B} \rightarrow \mathbb{D}$  so that  $f' \circ f = g' \circ g$ .

A set  $\mathbf{A}$  of finite relational structures in the language  $\mathcal{L}$  is *updirected* if for every two elements  $\mathbb{A}$  and  $\mathbb{B}$  in  $\mathbf{A}$ , there exists an element  $\mathbb{D} \in \mathbf{A}$  into which both structures  $\mathbb{A}$  and  $\mathbb{B}$  have an embedding.

A set  $\mathbf{A}$  of finite relational structures in the language  $\mathcal{L}$  is an *age* if it is closed under induced substructures, isomorphic images and updirected. Let  $\mathbb{U}$  be a countable relational structure. The set of finite relational structures which have an embedding into  $\mathbb{U}$  is *the age of  $\mathbb{U}$* .

Theorems 7.1 and 7.4 are due to Fraïssé, see [5].

**Theorem 7.1.** *Let  $\mathbb{U}$  be a countable relational structure. The age of  $\mathbb{U}$  is a countable age. Conversely, if  $\mathbf{A}$  is a countable age then there exists a countable relational structure whose age is equal to  $\mathbf{A}$ .*

**Definition 7.2.** The countable relational structure  $\mathbb{U}$  with age  $\mathbf{A}$  has the *mapping extension property* if for every structure  $\mathbb{A} = (A, \mathcal{L}) \in \mathbf{A}$  and every element  $x$  in  $A$  and every embedding  $f$  of  $\mathbb{A} - x$  into  $\mathbb{U}$  there is an extension of  $f$  to an embedding of  $\mathbb{A}$  into  $\mathbb{U}$ .

**Definition 7.3.** A countable relational structure  $\mathbb{U}$  is *homogeneous* if it has the mapping extension property.

**Theorem 7.4.** *Let  $\mathbf{A}$  be a countable age with amalgamation. Then there exists, up to isomorphism, a unique and countable homogeneous structure whose age is equal to  $\mathbf{A}$ . The age of every countable homogeneous structure is a countable age with amalgamation.*

Let  $\mathbf{F}$  be a universal constraint set in the language  $\mathcal{L}$ . It is not difficult to see that the set  $\mathbf{A}$  of all finite relational structures in the language  $\mathcal{L}$ , with the property that for every element  $\mathbb{A} = (A, \mathcal{L}) \in \mathbf{A}$  every two element induced substructure of  $\mathbb{A}$  is isomorphic to an element of  $\mathbf{F}$ , is an age. Hence there exists a unique homogeneous structure  $\mathbb{U}_{\mathbf{F}}$  with age  $\mathbf{A}$ . This structure  $\mathbb{U}_{\mathbf{F}}$  is the *universal binary countable homogeneous structure with language  $\mathcal{L}$  and constraint set  $\mathbf{F}$* .

Let  $\mathbf{F}$  be a universal constraint set in the language  $\mathcal{L}$  and with  $|\mathbf{F}| = k$ . Let  $\lambda$  be a bijection of  $\mathbf{F}$  to  $k$ . Let  $\mathbb{U}_{\mathbf{F}} = (U, \mathcal{L})$  be the universal binary countable homogeneous structure with language  $\mathcal{L}$  and constraint set  $\mathbf{F}$ . Let  $v_0, v_1, v_2, v_3, \dots$  be an  $\omega$ -enumeration of  $U$ .

As described in the Introduction we associate with every element  $v_n$  of  $U$  a sequence  $\sigma(v_n)$  of length  $n$  so that for every  $i \in n$  the  $i$ 's entry  $\sigma(v_n)(i)$  is the label  $\lambda(\mathbb{A})$  of the element  $\mathbb{A} \in \mathbf{F}$  for which the function mapping 0 to  $v_i$  and 1 to  $v_n$  is an embedding of  $\mathbb{A}$  into  $\mathbb{U}_{\mathbf{F}}$ . Note that  $\sigma$  is an injection of  $U$  into the regular  $\omega$ -tree  $T$  of degree  $k$  and that  $\sigma(v_n)(i)$  is the passing number  $\sigma(v_n)(|\sigma(v_i)|)$  of  $\sigma(v_n)$  at  $v_i$ . Note also that  $\sigma = \sigma_\lambda$  depends on the labelling  $\lambda$ .

Conversely we define a relational structure  $\mathbb{T}_{\mathbf{F}} = (T, \mathcal{L})$  with domain the regular  $\omega$ -tree  $T$  of degree  $k$ . Let  $s = \langle s_0, s_1, \dots, s_{n-1} \rangle$  and  $t = \langle t_0, t_1, \dots, t_{m-1} \rangle$

be two elements of  $T$  with  $m > n$  and let  $R \in \mathcal{L}$  be a binary relation symbol. Then  $R(s, t)$  if  $R(0, 1)$  in the structure  $\mathbb{A} \in \mathbf{A}$  for which  $\lambda(\mathbb{A}) = t(|s|)$ . The relational structure  $\mathbb{T}_{\mathbf{F}} = (T, \mathcal{L})$  is the *tree with constraints*  $\mathbf{F}$ .

Note: Let  $m > n$  and  $\mathbb{F} \in \mathbf{F}$ . Then  $\sigma(v_m)(|v_n|) = \lambda(\mathbb{F})$  if and only if the function which maps 0 to  $v_n$  and 1 to  $v_m$  is an isomorphism of  $\mathbb{F}$  to the substructure of  $\mathbb{T}_{\mathbf{F}}$  induced by  $\{v_n, v_m\}$ .

Given a universal countable binary relational structure  $\mathbb{U} = (U, \mathcal{L})$  we will always assume that  $U$  is ordered into an  $\omega$  sequence and that the function  $\sigma$  of  $U$  into the regular  $\omega$ -tree  $T$  is as defined above.

**Theorem 7.5.** *Let  $\mathbf{F}$  be a universal constraint set in a binary relational language  $\mathcal{L}$  with  $|\mathbf{F}| = k$  and let  $\lambda$  be a bijection of  $|\mathbf{F}|$  into  $k$ . Let  $T$  be the regular  $\omega$ -tree of degree  $k$  and  $\mathbb{U}_{\mathbf{F}} = (U, \mathcal{L})$  the universal binary homogeneous structure with constraints  $\mathbf{F}$ . Let  $v_0, v_1, v_2, \dots$  be an  $\omega$ -enumeration of  $U$  and  $\sigma$  the association of the elements of  $U$  with elements of  $T$  via the given enumeration of  $U$  and the labelling  $\lambda$  of the elements of  $\mathbf{F}$ . Let  $\mathbb{T}_{\mathbf{F}} = (T, \mathcal{L})$  be the tree with constraints  $\mathbf{F}$ .*

*The function  $\sigma$  is an isomorphism of  $\mathbb{U}_{\mathbf{F}}$  to the substructure of  $\mathbb{T}_{\mathbf{F}}$  induced by  $\sigma[U]$ .*

**Proof.** Let  $R \in \mathcal{L}$  and  $n < m$  and  $R(v_n, v_m)$ . Let  $\mathbb{F} \in \mathbf{F}$  for which the function mapping 0 to  $v_n$  and 1 to  $v_m$  is an isomorphism of  $\mathbb{F}$  to the substructure of  $\mathbb{U}_{\mathbf{F}}$  induced by  $\{v_n, v_m\}$ . Then  $R(0, 1)$  and  $\sigma(v_m)(|\sigma(v_n)|) = \sigma(v_m)(n) = \lambda(\mathbb{F})$ . Hence  $R(\sigma(v_n), \sigma(v_m))$ .

Conversely, let  $R \in \mathcal{L}$  and  $n < m$  and  $R(\sigma(v_n), \sigma(v_m))$ . Let  $\mathbb{F} \in \mathbf{F}$  with  $\sigma(v_m)(|\sigma(v_n)|) = \lambda(\mathbb{F})$ . Then  $R(0, 1)$  in  $\mathbb{F}$  and the function which maps 0 to  $v_n$  and 1 to  $v_m$  is an isomorphism of  $\mathbb{F}$  to the substructure of  $\mathbb{U}_{\mathbf{F}}$  induced by  $\{v_n, v_m\}$ . Hence  $R(v_n, v_m)$ . ■

**Theorem 7.6.** *Let  $\mathbf{F}$  be a universal constraint set in a binary relational language  $\mathcal{L}$  with  $|\mathbf{F}| = k$  and let  $\lambda$  be a bijection of  $|\mathbf{F}|$  into  $k$ . Let  $T$  be the regular  $\omega$ -tree of degree  $k$  and  $\mathbb{U}_{\mathbf{F}} = (U, \mathcal{L})$  the universal binary homogeneous structure with constraints  $\mathbf{F}$ . Let  $v_0, v_1, v_2, \dots$  be an  $\omega$ -enumeration of  $U$  and  $\sigma$  the association of the elements of  $U$  with elements of  $T$  via the given enumeration of  $U$  and the labelling  $\lambda$  of the elements of  $\mathbf{F}$ . Let  $\mathbb{T}_{\mathbf{F}} = (T, \mathcal{L})$  be the tree with constraints  $\mathbf{F}$ .*

*Then  $\sigma[U]$  is a transversal cofinal subset of the regular  $\omega$ -tree of degree  $k$ .*

*Let  $D$  be a transversal and cofinal subset of  $T$ . Then the substructure of  $\mathbb{T}_{\mathbf{F}}$  induced by  $D$  is isomorphic to  $\mathbb{U}_{\mathbf{F}}$ .*

**Proof.** The set  $\sigma[U]$  is obviously transversal. Let  $s = \langle s_0, s_1, \dots, s_{n-1} \rangle \in T$ . Let  $x$  be an element not in  $U$  and  $\mathbb{A} = (\{v_i : i \in n\} \cup \{x\}, \mathcal{L})$  be a relational

structure in language  $\mathfrak{L}$  and base set  $\{v_i : i \in n\} \cup \{x\}$  so that  $\mathbb{A}$  restricted to  $\{v_i : i \in n\}$  is equal to  $\mathbb{U}$  restricted to  $\{v_i : i \in n\}$  and so that  $\lambda(\mathbb{F}) = s_i$  where  $\mathbb{F} \in \mathbf{F}$  is isomorphic the restriction of  $\mathbb{A}$  to  $\{v_i, x\}$ . Then  $\mathbb{A}$  is an element of the age of  $\mathbb{U}_{\mathbf{F}}$ .

We obtain, from the mapping extension property of  $\mathbb{U}_{\mathbf{F}}$ , an embedding  $f$  of  $\mathbb{A}$  into  $\mathbb{U}_{\mathbf{F}}$  which is the identity on the set  $\{v_i : i \in n\}$ . Let  $f(x) = v_m$ . Note that  $m \geq n$  because  $f$  is an injection. It follows that  $s$  is a predecessor of  $\sigma(f(x)) = \sigma(v_m) \in \sigma[U]$  and hence that  $\sigma[U]$  is cofinal in  $T$ .

Let  $D$  be a transversal and cofinal subset of  $T$ . Let  $\mathbb{A}$  be an element in the age of  $\mathbb{U}_{\mathbf{F}}$ . It follows by induction on the size of  $\mathbb{A}$  from the cofinality of  $D$  that there is an embedding of  $\mathbb{A}$  into the restriction of  $\mathbb{T}_{\mathbf{F}}$  to  $D$ . Hence, because the age of  $\mathbb{T}_{\mathbf{F}}$  is a subset of the age of  $\mathbb{U}_{\mathbf{F}}$ , the age of the restriction of  $\mathbb{T}_{\mathbf{F}}$  to  $D$  is equal to the age of  $\mathbb{U}_{\mathbf{F}}$ . The restriction of  $\mathbb{T}_{\mathbf{F}}$  to  $D$  has the mapping extension property because of the cofinality of  $D$ . We obtain from [Theorem 7.4](#) that the restriction of  $\mathbb{T}_{\mathbf{F}}$  to  $D$  is isomorphic to  $\mathbb{U}_{\mathbf{F}}$ . ■

**Theorem 7.7.** *Let  $\mathbf{F}$  be a universal constraint set in a binary relational language  $\mathfrak{L}$  with  $|\mathbf{F}| = k$  and let  $\lambda$  be a bijection of  $|\mathbf{F}|$  into  $k$ . Let  $T$  be the regular  $\omega$ -tree of degree  $k$  and  $\mathbb{U}_{\mathbf{F}} = (U, \mathfrak{L})$  the universal binary homogeneous structure with constraints  $\mathbf{F}$ . Let  $v_0, v_1, v_2, \dots$  be an  $\omega$ -enumeration of  $U$  and  $\sigma$  the association of the elements of  $U$  with elements of  $T$  via the given enumeration of  $U$  and the labelling  $\lambda$  of the elements of  $\mathbf{F}$ . Let  $\mathbb{T}_{\mathbf{F}} = (T, \mathfrak{L})$  be the tree with constraints  $\mathbf{F}$ .*

*The function  $f : U \rightarrow U$  is an isomorphism of  $\mathbb{U}_{\mathbf{F}}$  into  $\mathbb{U}_{\mathbf{F}}$  if and only if the function  $\sigma \circ f \circ \sigma^{-1}$  is a pnp map of  $\sigma[U]$  to  $\sigma[U]$ .*

**Proof.** The function  $f$  is an injection if and only if  $\sigma \circ f \circ \sigma^{-1}$  is an injection because  $\sigma$  is an injection. Every pnp map is injective and every isomorphism is injective.

Let  $\mathbb{F} \in \mathbf{F}$  for which the function mapping 0 to  $v_n$  and 1 to  $v_m$  is an isomorphism of  $\mathbb{F}$  to the substructure of  $\mathbb{U}_{\mathbf{F}}$  induced by  $\{v_n, v_m\}$ . Because  $\sigma$  is an isomorphism according to [Theorem 7.5](#), the function which maps 0 to  $\sigma(v_n)$  and 1 to  $v_m$  is an isomorphism of  $\mathbb{F}$ , hence  $\sigma(v_m)(|\sigma(v_n)|) = \lambda(\mathbb{F})$ .

Let  $f$  be an isomorphism. Then  $g := \sigma \circ f \circ \sigma^{-1}$  is an isomorphism because  $\sigma$  is an isomorphism according to [Theorem 7.5](#). Hence, the function which maps 0 to  $g(\sigma(v_n))$  and 1 to  $g(\sigma(v_m))$  is an isomorphism of  $\mathbb{F}$  into  $\mathbb{T}_{\mathbf{F}}$ . Therefore

$$g(\sigma(v_m))(|g(\sigma(v_n))|) = \lambda(\mathbb{F}) = \sigma(v_m)(|\sigma(v_n)|),$$

which implies that  $g := \sigma \circ f \circ \sigma^{-1}$  is a pnp map.

Let  $g := \sigma \circ f \circ \sigma^{-1}$  be a pnp map. Then

$$\sigma \circ f(v_m)(|\sigma \circ f(v_n)|) = g(\sigma(v_m))(|g(\sigma(v_n))|) = \lambda(\mathbb{F}),$$

which implies that the function mapping 0 to  $\sigma \circ f(v_n)$  and 1 to  $\sigma \circ f(v_m)$  is an isomorphism of  $\mathbb{F}$ . Hence the function which maps 0 to  $f(v_n)$  and 1 to  $f(v_m)$  is an isomorphism of  $\mathbb{F}$ . Implying that  $R(v_n, v_m)$  if and only if  $R(f(v_n), f(v_m))$  for  $R \in \mathcal{L}$  and hence that  $f$  is an isomorphism. ■

On account of [Theorem 7.6](#) we identify universal countable binary relational structures with the corresponding cofinal subsets of regular  $\omega$ -trees. This enables us to carry all of the notions for sets of sequences like diagonal, similar,  $\mathfrak{d}$ -morphism,  $\mathfrak{E}_n(U)$  etc. over to universal countable binary relational structures. Furthermore, isomorphisms of the universal homogeneous structures correspond to pnp maps of the corresponding set of sequences according to [Theorem 7.7](#).

**Definition 7.8.** Let  $\mathbb{U} = (U, \mathcal{L})$  be a universal countable binary relational structure and  $n \in \omega$ . Then  $\text{nd}_n(U)$  is the set of all subsets of  $U$  with  $n$  elements which are not diagonal. Fix an enumeration  $\mathcal{C}'_n = (Q_0, Q_1, \dots, Q_{m-1})$  of the different  $\sim$  equivalence classes of  $n$ -element diagonal subsets of  $U$  and let  $\mathcal{C}_n(\mathbb{U}) := (Q_0 \cup \text{nd}_n(U), Q_1, Q_2, \dots, Q_{m-1})$ .

It follows from [Lemma 3.7](#) that there are only finitely many  $\sim$  equivalence classes on the  $n$ -element diagonal subsets of  $U$ . For  $\mathbb{U} = (U, \mathcal{L})$  a universal countable binary relational structure and  $n \in \omega$  we denote by  $r_{\mathbb{U}}(n)$  the number of  $\sim$  equivalence classes of the  $n$ -element diagonal subsets of  $U$ .

**Theorem 7.9.** Let  $\mathbb{U} = (U, \mathcal{L})$  be a universal countable binary relational structure and  $n \in \omega$ . Then  $\mathcal{C}_n(\mathbb{U})$  is a canonical partition of the  $n$ -element subsets of  $U$ .

**Proof.** It follows from [Corollary 5.10](#) that each of the sets  $Q_i$  with  $i \in m$  is indivisible. The set  $Q_0 \cup \text{nd}_n(U)$  is indivisible because the image of a strong diagonalization is diagonal and every subset of a diagonal set is again diagonal.

Embeddings of  $\mathbb{U}$  into  $\mathbb{U}$  are passing number preserving maps if  $\mathbb{U}$  is represented as a cofinal subset of a regular  $\omega$ -tree. Hence, it follows from [Theorem 4.1](#) that each of the sets  $Q_i$  for  $i \in m$  is persistent. ■

**Corollary 7.10.** Let  $\mathbb{U} = (U, \mathcal{L})$  be a universal countable binary relational structure and  $n \in \omega$ . Then

$$\mathbb{U} \rightarrow (\mathbb{U})_{<\omega/r_{\mathbb{U}}(n)}^n.$$

If

$$\mathbb{U} \rightarrow (\mathbb{U})_{<\omega/s}^n.$$

then  $s \geq r_{\mathbb{U}}(n)$ .

**Theorem 7.11.** *Let  $\mathbb{U} = (U, \mathfrak{L})$  be a universal countable binary relational structure and  $n \in \omega$ . Then  $\mathfrak{E}_n(U)$  is a finite basis for the equivalence relations on  $[U]^n$ .*

**Proof.** Follows directly from [Theorem 6.13](#). ■

## References

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